



PTO/SB/21 (08-03)

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| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> |    | Application Number     | 10/698,692             |
|  |    | Filing Date            | Oct 31, 2003           |
|  |    | First Named Inventor   | Donadio, III, James V. |
|  |    | Art Unit               | 1732                   |
|  |    | Examiner Name          | Not yet assigned       |
| Total Number of Pages in This Submission   | 5+ | Attorney Docket Number | AZMED.0100             |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br>(Supplemental w/SB08 & 2 Ref's.)<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please<br>identify below): |
| <b>Remarks</b>  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Noblitt & Gilmore, LLC<br>Daniel J. Noblitt, Reg. No. 35,969 |
| Signature                                  |  |
| Date                                       | April 28, 2004   |

| CERTIFICATE OF TRANSMISSION/MAILING   |                   |      |                |
|---|-------------------|------|----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                   |      |                |
| Type or printed name  | Daniel J. Noblitt |      |                |
| Signature   |                   | Date | April 28, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Applicant(s): James V. Donadio, III                      Atty. Docket No.: AZMED.0100  
Serial No.: 10/698,692  
Filed: October 31, 2003                      Group Art Unit: 1732  
Title: METHODS AND APPARATUS FOR Examiner: NYA  
INTRALUMINAL DEVICE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents and Trademarks  
Box Patent Application  
Washington, D.C. 20231

Dear Honorable Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, the references listed and identified on the attached SB08 based form are being submitted herewith for consideration by the Examiner. A copy of each listed reference is included herewith.


The references are being cited only in the interest of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

This Information Disclosure Statement (IDS) is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office Action on the merits, therefore no fee or statement is required.

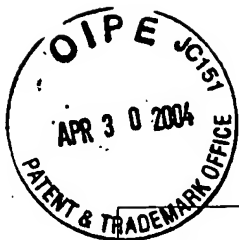
If there are any questions concerning this Supplemental IDS, the Examiner is requested to contact the undersigned. If it is determined that this Supplemental IDS has been filed under the wrong rule, the United States Patent and Trademark Office is requested to consider this Supplemental IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-2993.

Respectfully submitted,

Date: <sup>28</sup> April ~~1~~, 2004

By:   
Daniel J. Noblitt  
Reg. No. 35,969

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 10/698,692            |
| Filing Date            | October 31, 2003      |
| First Named Inventor   | James V. Donadio, III |
| Art Unit               | 1732                  |
| Examiner Name          | Not yet assigned      |
| Attorney Docket Number | AZMED.0100            |

Sheet 1 1 of 1 2

**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US-4,977,038                             | 11 Dec 1990                    | Sieradzki et al.                                   |   |
|                       |                          | US-6,019,784                             | 01 Feb 2000                    | Hines  |   |
|                       |                          | US-                                      |                                |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials. | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
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| Examiner<br>Signature |                          |   |                                   |  | Date<br>Considered  |                |

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| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |          | <i>Complete if Known</i> |                       |                        |            |
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|  |          | First Named Inventor     | James V. Donadio, III |                        |            |
|  |          | Art Unit                 | 1732                  |                        |            |
|  |          | Examiner Name            | Not yet assigned      |                        |            |
| Sheet <b>1</b>   | <b>2</b> | of <b>1</b>              | <b>2</b>              | Attorney Docket Number | AZMED.0100 |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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